



SEC RULE 606

DISCLOSURE OF ORDER ROUTING INFORMATION

SEC Rule 606 requires broker-dealers that route held non-directed customer orders in national market securities, and listed options with a market value less than \$50,000, to make publicly available quarterly reports about the routing of customer orders.

Velox Clearing, LLC (Velox) publishes a quarterly report concerning order routing practices on its website. The report provides information on the routing of "non-directed orders", which is any order that a customer has not given specific instructions on where their order is to be routed for execution. For these non-directed orders, Velox has selected the execution venue on behalf of the customers. Customers may view the most recent quarterly reports by going to [Quarterly Order Routing Information](#).

Additionally, SEC Rule 606 requires broker-dealers to notify their customers annually concerning the availability of individual order information. Customers may request a detailed report identifying their specific order routing information for the previous six months prior to the request.

To request a report of your individual order information, please write to Velox's Client Services Department at 2400 E Katella Ave, Suite 725 Anaheim CA, 92806 or email your request to DL-client.services@velox-global.com.